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PTO/SB/05 (08-00)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(h))

Attorney Docket No.	1711.0040004/RWE
First Inventor	William J. Ryan et al.
Title	RF Active Compositions for Use in Adhesion, Bonding and Coating
Express Mail Label No.	

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 136]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 66]
5. Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer program  
(Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies



## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Authorization Under 37 C.F.R. § 1.136(a)(3) (duplicate)  
☒ Other: Letter to PTO Draftsman: Submission of Formal Drawings w/66 sheets of formal drawings

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No:        /         
Prior application information: Examiner        Group/Art Unit:       

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.				
	Attorneys at Law				
ADDRESS	Suite 600, 1100 New York Avenue, N.W.				
CITY	Washington	STATE	DC	ZIP CODE	20005-3934
COUNTRY	USA	TELEPHONE	(202) 371-2600	FAX	(202) 371-2540

NAME (Print/Type)	Robert W. Esmond	Registration No. (Attorney/Agent)	32,893
SIGNATURE	<u>Robert W. Esmond</u>	Date	Sept. 22, 2000

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2000</h3> <p style="margin: 5px 0 0 40px;"><i>Patent fees are subject to annual revision.</i></p>		<b>Complete if Known</b>													
<b>TOTAL AMOUNT OF PAYMENT</b>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">(to be assigned)</td> </tr> <tr> <td>Filing Date</td> <td>(herewith)</td> </tr> <tr> <td>First Named Inventor</td> <td>Ryan et al.</td> </tr> <tr> <td>Examiner Name</td> <td>(to be assigned)</td> </tr> <tr> <td>Group Art Unit</td> <td>(to be assigned)</td> </tr> <tr> <td>Attorney Docket No.</td> <td>1711.0040004/RWES</td> </tr> </table>		Application Number	(to be assigned)	Filing Date	(herewith)	First Named Inventor	Ryan et al.	Examiner Name	(to be assigned)	Group Art Unit	(to be assigned)	Attorney Docket No.	1711.0040004/RWES
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METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																										
<p><b>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Deposit Account Number</td> <td>19-0036</td> </tr> <tr> <td>Deposit Account Name</td> <td>Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.</td> </tr> </table> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p><b>2. <input checked="" type="checkbox"/> Payment Enclosed:</b></p> <p><input checked="" type="checkbox"/> Check    <input type="checkbox"/> Credit card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>	Deposit Account Number	19-0036	Deposit Account Name	Sterne, Kessler, Goldstein & Fox P.L.L.C.	<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity</th> <th>Small Fee Code</th> <th>Entity</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for <i>es parte</i> reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>380</td> <td>216</td> <td>190</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>870</td> <td>217</td> <td>435</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,360</td> <td>218</td> <td>680</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,850</td> <td>228</td> <td>925</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>300</td> <td>219</td> <td>150</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>300</td> <td>220</td> <td>150</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>260</td> <td>221</td> <td>130</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,210</td> <td>241</td> <td>605</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,210</td> <td>242</td> <td>605</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>430</td> <td>243</td> <td>215</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>580</td> <td>244</td> <td>290</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>481</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40</td> </tr> <tr> <td>146</td> <td>690</td> <td>246</td> <td>345</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>690</td> <td>249</td> <td>345</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td>179</td> <td>690</td> <td>279</td> <td>345</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169</td> <td>900</td> <td>169</td> <td>900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="6">Other fee (specify) :</td> </tr> <tr> <td colspan="6">Other fee (specify) :</td> </tr> <tr> <td colspan="6">*Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="6" style="text-align: right;"> <b>SUBTOTAL (3) (\$ 40.00)</b> </td> </tr> </tbody> </table>	Large Fee Code	Entity	Small Fee Code	Entity	Fee Description	Fee paid	105	130	205	65	Surcharge - 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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Robert W. Esmond	Registration No.	32,893	Telephone	202-371-2600
Signature	<i>Robert W. Esmond</i>	Date	09/22/00		

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STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

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NANCY J. LEITH\*\*  
TARJA H. NAUKKARINEN\*\*

\*BAR OTHER THAN D.C.  
\*\*REGISTERED PATENT AGENTS

September 22, 2000

WRITER'S DIRECT NUMBER:

(202) 371-2560

INTERNET ADDRESS:

resmond@skgf.com

Commissioner for Patents  
Washington, D.C. 20231

**Box Patent Application**

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)  
Appl. No. (to be assigned); filed (herewith)  
For: **RF Active Compositions for Use in Adhesion, Bonding and Coating**  
Inventors: Ryan *et al.*  
Our Ref: 1711.0040004/RWE

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. Fee Transmittal (Form PTO/SB/17) (in duplicate);
2. Utility Patent Application Transmittal (Form PTO/SB/05);
3. U.S. Utility Patent Application entitled:

RF Active Compositions for Use in Adhesion, Bonding and Coating

and naming as inventors:

William J. Ryan  
Francisco Chiarilli  
Gary C. Adishian  
Jonathan M. Gorbald

Commissioner for Patents

September 22, 2000

Page 2

the application comprising:

- a. specification containing:
    - i. 118 pages of description prior to the claims;
    - ii. 17 pages of claims (claims 1-90); and
    - iii. 1 page abstract;
  - b. Sixty-six (66) sheets of drawings (Figures 1A-1B; 2A-2B; 3-6; 7A-7C; 8-9; 10A-10E; 11-12; 13A-13C; 14-15; 16A-16B; 17-19; 20A-20C; 21-27; 28A-28B and 29-70); and
  - c. An original Declaration executed by the inventors (3 sheets);
4. Letter to PTO Draftsman: Submission of Formal Drawings;
  5. Sixty-six (66) sheets of formal drawings (Figures 1A-1B; 2A-2B; 3-6; 7A-7C; 8-9; 10A-10E; 11-12; 13A-13C; 14-15; 16A-16B; 17-19; 20A-20C; 21-27; 28A-28B; 29-63; 64A-64B and 65-70);
  6. Authorization To Treat A Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (in duplicate);
  7. Recordation Form Cover Sheet;
  8. An original executed Assignment to Ameritherm, Inc., recordation of which is respectfully requested;
  9. An original executed Power of Attorney from Assignee;
  10. A Certificate Under 37 C.F.R. § 3.73(b) from Assignee with a copy of the Assignment attached;
  11. Two (2) return postcards; and

Commissioner for Patents

September 22, 2000

Page 3

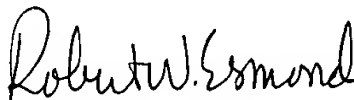
12. Our check No. 28842 for \$2,748.00 to cover:

\$ 690.00	Basic filing fee (37 C.F.R. § 1.16(a));
390.00	Independent claims in excess of three (37 C.F.R. § 1.16(b));
1,368.00	Claim in excess of twenty (37 C.F.R. § 1.16(c));
260.00	Multiple dependent claim (37 C.F.R. § 1.16(d)); and
40.00	Assignment recordation (37 C.F.R. § 1.21(h)).

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert W. Esmond  
Attorney for Applicants  
Registration No. 32,893

RWE/dab

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Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ryan *et al.*

Appl. No. (To be assigned)

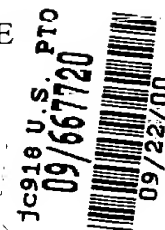
Filed: (herewith)

For: **RF Active Compositions for Use in  
Adhesion, Bonding and Coating**

Art Unit: (To be assigned)

Examiner: (To be assigned)

Atty. Docket: 1711.0040004/RWE



**Letter to PTO Draftsman: Submission of Formal Drawings**

Commissioner for Patents  
**Box Patent Application**  
Washington, D.C. 20231

Sir:

Submitted herewith are sixty-six (66) sheets of formal drawings with Figures 1A-1B; 2A-2B; 3-6; 7A-7C; 8-9; 10A-10E; 11-12; 13A-13C; 14-15; 16A-16B; 17-19; 20A-20C; 21-27; 28A-28B; 29-63; 64A-64B and 65-70), corresponding to the informal drawings submitted with the above-captioned application. Acknowledgment of the receipt, approval, and entry of these formal drawings into this application is respectfully requested.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink that reads "Robert W. Esmond".

Robert W. Esmond  
Attorney for Applicants  
Registration No. 32,893

Date: September 22, 2000

1100 New York Avenue, N.W.  
Suite 600  
Washington, D.C. 20005-3934  
(202) 371-2600

# Certificate Under 37 C.F.R. § 3.73(b)

Applicant/Patent Owner: William J. Ryan, Francisco Chiarilli, Gary C. Adishian and Jonathan M. Gorbald

Application No./Patent No.: \_\_\_\_\_ (to be assigned) Filed/Issue Date: \_\_\_\_\_ (herewith)

Entitled: RF Active Compositions for Use in Adhesion, Bonding and Coating

Ameritherm, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Date: Sept 20, 2000

Name: Richard H. Rosenbloom

Title: President

Signature: Richard H. Rosenbloom

